

Export LC Transfer - User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Export LC Transfer User Guide
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1. Preface

1.1 Introduction

This user manual is designed to help you quickly get acquainted with Export LC Transfer process in Oracle Banking Trade Finance Process Management.

1.2 Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

1.5 Related Documents

- Getting Started User Guide
- Common Core User Guide

1.6 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry

standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

1.7 Conventions

The following text conventions are used in this document:





Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

Icons	Function
	Exit
	Add row
	Delete row
	Option List

2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

3. Export LC Transfer

Using the Export Transfer LC process, the user can register request for an Export LC Transfer received at the front desk.

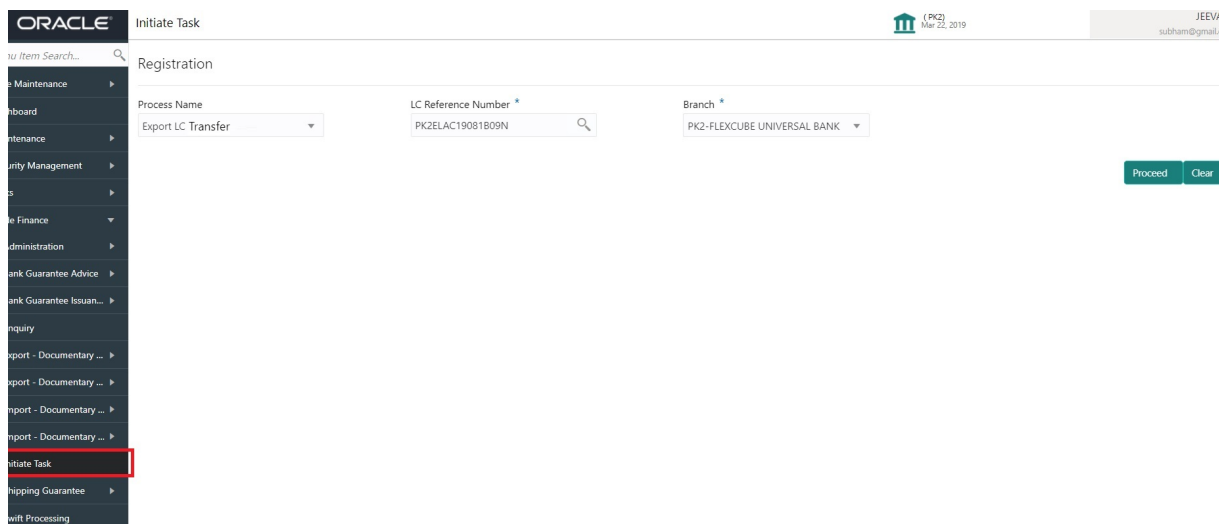
This section contains the following topics:

3.1 Common Initiation Stage	3.2 Registration
3.3 Scrutiny	3.4 Data Enrichment
3.5 Customer Response - Draft Confirmation	3.6 Multi Level Approval
3.7 Customer - Acknowledgement Format	3.8 Customer - Reject Format

3.1 Common Initiation Stage

The user can initiate the new export LC transfer request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
LC Reference Number	Select the LC Reference Number.
Branch	Select the branch.

3.1.0.1 Action Buttons

Use action buttons based on the description in the following table:

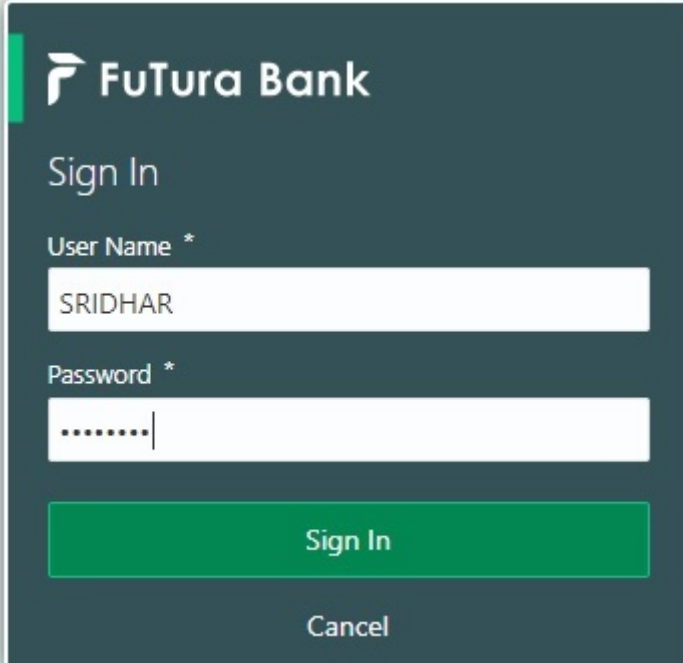
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

3.2 Registration

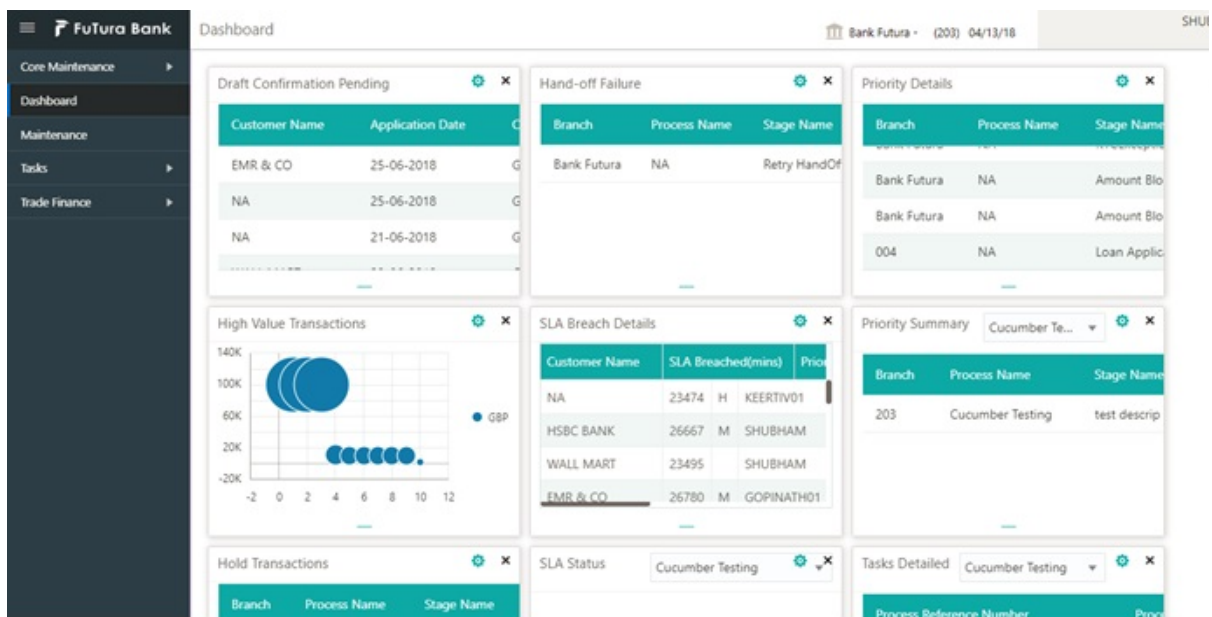
During the Registration stage, the user can register a request for an Export LC Transfer received at the front desk (as an application received physically/received by mail/fax).

User can capture the basic details of the response, check the signature of the signatory from the advising bank and upload the related documents. On submit of the request, the customer should be notified with acknowledgment and the request should be available for an LC expert to handle in the next stage.

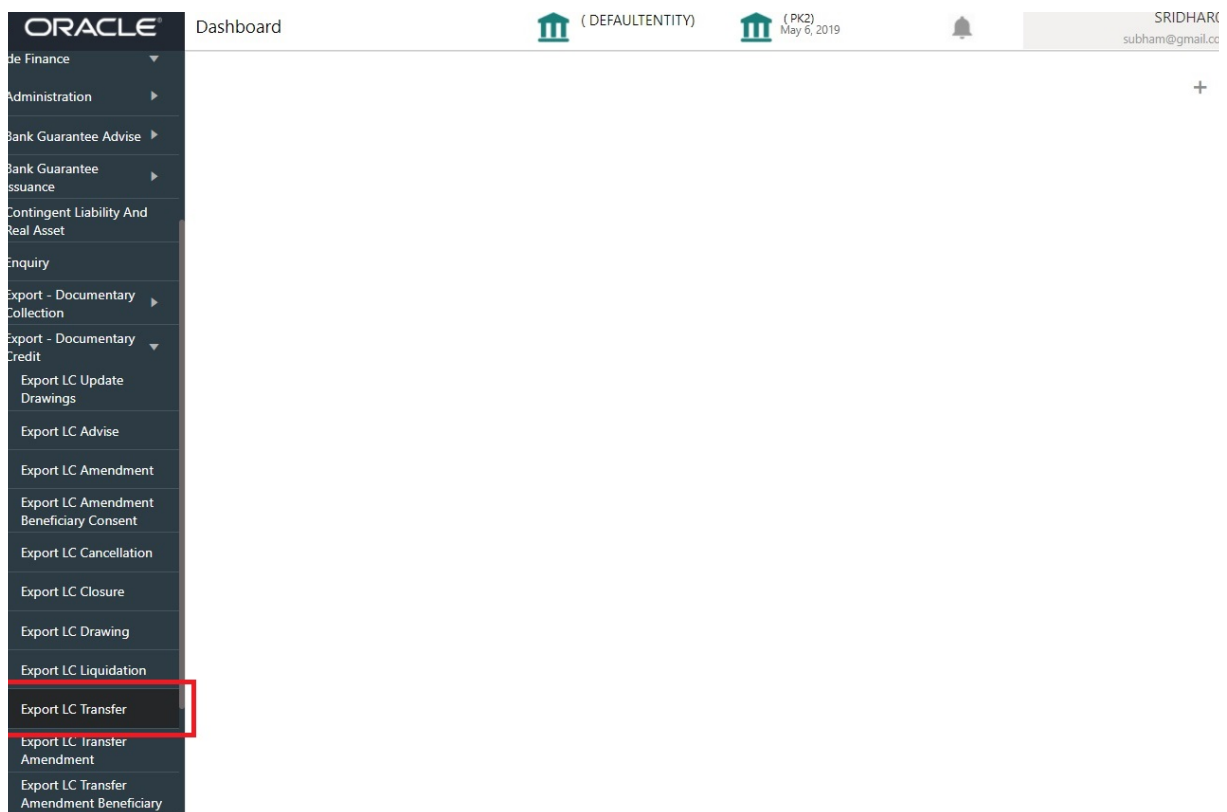
1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

A screenshot of the FuTura Bank Sign In interface. The background is dark teal. At the top left is the FuTura Bank logo, which consists of a stylized 'F' icon followed by the text 'FuTura Bank'. Below the logo, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the text 'SRIDHAR' entered, and 'Password *' with masked characters '.....'. Below the input fields are two buttons: a large green 'Sign In' button and a smaller, lighter green 'Cancel' button.

- On login, user must be able to view the dashboard screen with widgets as mapped to the user.



- Click **Trade Finance> Export - Documentary Credit> Export LC Transfer**.



The Registration stage has two sections Application Details and Transfer LC Details. Let's look at the details of Registration screens below:

3.2.1 Application Details

Application Details

Export LC Reference Number *
032ELCT232155503

Available Amount *
AED 50.00

First Beneficiary *
032204 Air Arabia

Branch
032-Oracle Banking Trade Finan...

Priority
Medium

Submission Mode
Desk

Transfer Date
Aug 3, 2023

Customer Reference Number
1000000000

LC Details

Type
Light

Advising Bank
Form of Documentary Credit Details

Product Code
20 - Documentary Credit Number *
032ELCT23215AFTH

Product Description
Export LC for Transfer

User Reference Number
032ELCT23215AFTH

Date of Expiry *
Dec 28, 2023

Additional Amount Covered
39C - Additional Amount Covered

Buttons: Hold, Cancel, Save & Close, Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Export LC Reference Number	User can search the Transfer LC Reference Number by using the LOV. User has to select the particular LC that need to be transferred. As part of LOV criteria; user can input the Transfer LC Reference Number, Beneficiary, Currency, Amount or User Reference Number.	
Available Amount	This field displays the available amount along with currency for Transfer. It must be less than or equals to LC amount.	
First Beneficiary	First Beneficiary details is defaulted from the underlying Export LC.	EMR & CO
Branch	Read only field. Branch details will be auto-populated from LC details.	203-Bank Futura -Branch FZ1
Priority	System will default the Priority as Low/Medium/ High based on maintenance.	High
Submission Mode	By default the submission mode will have the value as 'Desk'. the user can change the submission mode. Desk - Request received through Desk FAX - Request received through FAX Email - Request received through Email Courier - Request received through Courier	Desk

Field	Description	Sample Values
Transfer Amount	User has to input the Export LC transfer amount. Transfer amount plus tolerance cannot be more than value available in underlying Export LC. User cannot change the currency, it will be default from the underlying Export LC.	
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Transfer Date	System defaults the branch's current date.	
Customer Reference Number	The user can enter the Customer Reference Number, if any.	
Allow Substitution of Document	Toggle On: The bank is allowed to substitute the Export Documents received from Transferee with the documents from Beneficiary during Drawing.	

3.2.2 LC Details

Details in this screen displays the data from the LC issued.

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
LC Type	Read only field. The LC type value is auto populated from Export LC. The values can be: <ul style="list-style-type: none"> • Sight • Usance • Multi Tenor 	

Field	Description	Sample Values
Advising Bank	<p>User can enter the details if applicable. System should validate whether the Advising Bank is RMA compliant, if not system should display an error message.</p> <hr/> <p>Note</p> <p>If the KYC non-compliant party is selected then the system immediately gives instant alert as "Customer ID - (CIF ID) is not KYC compliant."</p>	
Product Code	<p>Read only field.</p> <p>Product Code of the underlying Export LC is displayed.</p> <hr/> <p>Note</p> <p>This field is enabled for product code selection from the lookup, if Use Transfer Product flag is set as "Yes" in the bank parameter.</p>	
Product Description	<p>Read only field.</p> <p>Product Description of the underlying Export LC is displayed.</p>	
40A - Form of Documentary Credit	<p>Read only field.</p> <p>The type of LC (Documentary Credit) is auto populated from Export LC.</p>	
Form of Documentary Credit Details	<p>Specify the value for form of documentary credit details.</p>	
Documentary Credit Number	<p>Generated from the back office and displayed in the application Number.</p>	
User Reference Number	<p>System defaults the user reference number based on the product code.</p> <p>The user can change the user reference number.</p>	
Reference To Pre-Advice	<p>This field is not applicable.</p>	
Date of Issue	<p>Application will default the branch's current date in date of issue. User cannot change the defaulted date. Application will populate the Date of Issue field with branch date on approval if date of approval is later than date of Registration.</p>	

Field	Description	Sample Values
Applicable Rules	Read only field. The applicable rules is auto populated from Export LC.	
Date Of Expiry	Date of Expiry is defaulted from the underlying Export LC and user is allowed to change expiry date which is on or before to Export LC Expiry date but not earlier to Transfer Date.	
Place of Expiry	Place of Expiry is defaulted from the underlying Export LC.	
Second Beneficiary	The user can select the beneficiary for Export LC Transfer. Click the look up icon to search the beneficiary based on Party ID/Party Name. If beneficiary is not a customer of the bank, then choose WALKIN customer id and provide the beneficiary details. If beneficiary is a customer and KYC status is not valid, then system will display alert message.	
Percentage Credit Amount Tolerance	Read only field. Tolerance Amount to default from the underlying Export LC.	
Additional Amount Covered	User can provide additional amount included in Export LC.	

3.2.3 Miscellaneous

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	User to upload the applicable documents. System displays the mandatory and optional documents. If mandatory documents are not uploaded, system should display an error on submit. The possible documents submitted under an Export LC Transfer request are:	
Remarks	Provide any additional information regarding the Export LC Transfer. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

Field	Description	Sample Values
Action Buttons		
Submit	On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Export LC Transfer. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Cancel	Cancels the Export LC Transfer Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

3.2.4 Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.

Documents

Document Status
All

Letter of Credit

Pro-forma Invoice

Letter of Credit

Application Form

Close

3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

Document Type *
Letter of Credit

Document Title *

Remarks

Drop files here or click to select

Selected files: []

Document Code *
Insurance Policy

Document Description

Document Expiry Date

Link Document

Upload
Link
Cancel

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	

Field	Description	Sample Values
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.
The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

Link Document

Customer Id *
032204

Document Id
|

Document Type *
▼

Document Code *
▼

Fetch

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
No data to display.						

Page 1 (0 of 0 items)
< 1 >

Close

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	

Field	Description	Sample Values
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

Link Document

Customer Id *
032204

Document Id

Document Type *
Documentary Collection

Document Code *
Insurance Policy

Fetch

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
Link	1559	032204	HGJH	INSURANCE	Mar 9, 2023	032IDCB000017631
Link	2649	032204	testing	INSURANCE	Mar 29, 2023	032ILCC000021179
Link	4143	032204		INSURANCE	May 8, 2023	032ILCU000032029
Link	4145	032204		INSURANCE	May 8, 2023	032ILCU000032042
Link	4305	032204		INSURANCE	May 10, 2023	032IDCB000033105

Page 1 of 2 (1-5 of 7 items)
1
2

Close

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Documents

Edit Document

Document Id	Document Title
2400	wqwq
Application Reference Number	Entity Reference Number
PK2ILCI000019041	PK2ILCI000019041
Document Type Id	Document Description
TFPM_DOCTYPE001	
Remarks	Document Expiry Date
	Jun 29, 2022
<div>Drop files here or click to select</div>	
Current selected files: []	

Update

Cancel

3.2.5 Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer.

Pre- Conditions:

- Customer Maintenance details are replicated from OBTF to OBTFPM.
 - Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.
1. Customer Maintenance details are replicated from OBTF to OBTFPM.
 2. In OBTFPM, user clicks Request Clarification, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online".
 3. In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder. In case submission mode is not "Online", the system will validate if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
 4. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system should display the error message that 'The customer is not subscribed to Trade Finance Portal'. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

3.3 Scrutiny

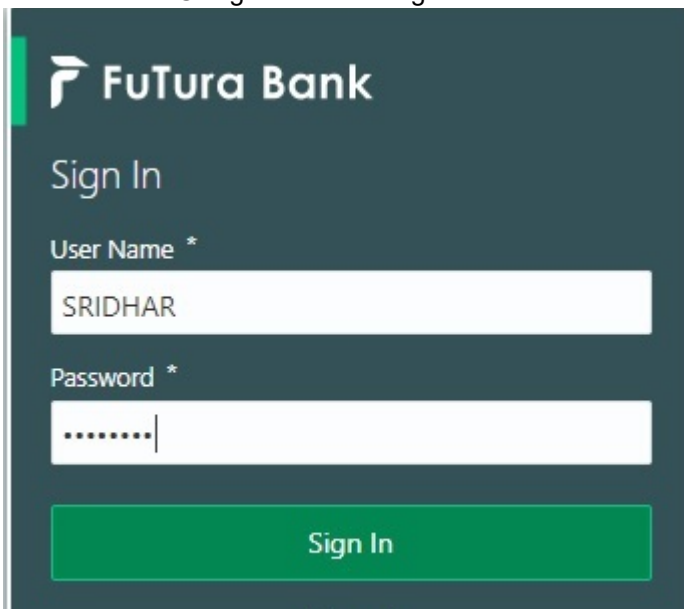
At Scrutiny stage, user can scrutinize the Export LC Transfer request. As part of Scrutiny, the user enters the basic details of the Export LC transfer request and can verify if the request can be processed further.

Non-Online Channel - Export LC Transfer request that were received at the desk will move to Scrutiny stage post successful Registration. The requests will have the details entered during the Registration stage.

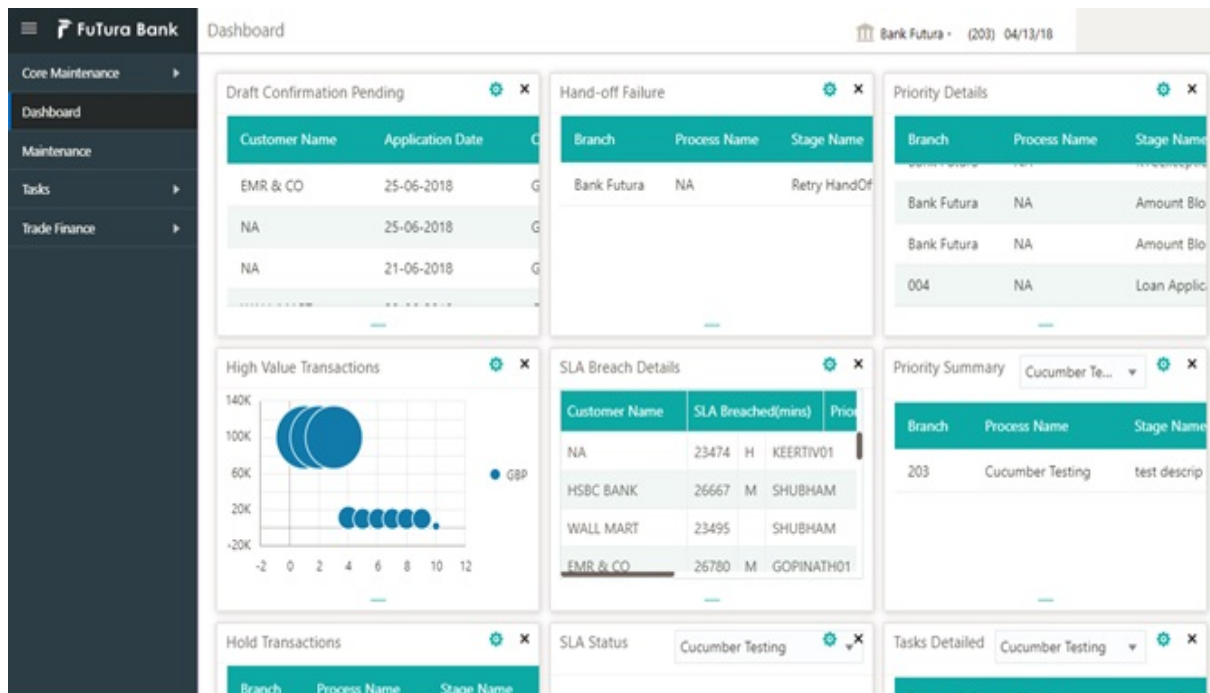
Online Channel - Requests that are received via online channels like trade portal, external system and SWIFT are available directly for further processing from Scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage should be auto populated.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.

The image shows a login interface for FuTura Bank. At the top left is the FuTura Bank logo, which consists of a stylized 'F' icon followed by the text 'FuTura Bank'. Below the logo is the heading 'Sign In'. There are two input fields: the first is labeled 'User Name *' and contains the text 'SRIDHAR'; the second is labeled 'Password *' and contains a series of dots. Below these fields is a green button with the text 'Sign In' in white.

- On login, user must be able to view the dashboard screen with widgets as mapped to the user.



- Click **Trade Finance> Tasks> Free Tasks**.

The screenshot shows the Oracle Free Tasks page. The left sidebar contains navigation links: Maintenance, Dashboard, Trade Learning, Maintenance, Security Management, Tasks (selected), Waiting Customer Identification, Business Process Maintenance, Completed Tasks, Free Tasks (highlighted), Hold Tasks, My Tasks, Search, Supervisor Tasks, and Finance. The main area displays a table of tasks with the following columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Customer Number. The table contains multiple rows of task data, including Export LC Transfer, Import Documentary Collection, Import LC Issuance, Import LC Liquidation, Guarantee Amendment, Export LC Amendment, Guarantee Issuance, Export LC Advise, and Guarantee Issuance. The 'Free Tasks' link in the sidebar is highlighted with a red box.

- Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

The screenshot shows the Oracle Free Tasks page with the 'Acquire & Edit' button highlighted for the first task. The left sidebar contains navigation links: Maintenance, Dashboard, Trade Learning, Maintenance, Security Management, Tasks (selected), Waiting Customer Identification, Business Process Maintenance, Completed Tasks, Free Tasks (highlighted), Hold Tasks, My Tasks, Search, Supervisor Tasks, and Finance. The main area displays a table of tasks with the following columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Customer Number. The table contains multiple rows of task data, including Export LC Transfer, Import Documentary Collection, Import LC Issuance, Import LC Liquidation, Guarantee Amendment, Export LC Amendment, Guarantee Issuance, Export LC Advise, and Guarantee Issuance. The 'Free Tasks' link in the sidebar is highlighted with a red box, and the 'Acquire & Edit' button for the first task is also highlighted with a red box.

- The acquired task will be available in **My Tasks** tab. Click **Edit** to capture responses of the registered task.

ORACLE My Tasks (DEFAULTTENTY) (PK2) May 6, 2019 SRIDHA subham@gmail

Item Search...

Refresh Release Escalate Delegate Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amou
<input checked="" type="checkbox"/> Edit	M	Export LC Transfer	PK2ELCT000056729	PK2ELCT000056729	Scrutiny	21-05-26	PK2	001043	
<input type="checkbox"/> Edit	M	Drawings Under Transfe...	PK2TLCDD000056575	PK2TLCDD000056575	KYC Exceptional approval	21-05-23	PK2	000152	
<input type="checkbox"/> Edit		Drawings Under Transfe...	PK2TLCDD000056573	PK2TLCDD000056573	Registration	21-05-23	PK2	000150	
<input type="checkbox"/> Edit		Import LC Liquidation	PK2ILCL000056570	PK2ILCL000056570	DataEnrichment	21-05-22	PK2		
<input type="checkbox"/> Edit		Export LC Transfer Ame...	PK2ELCT000056562	PK2ELCT000056562	Registration	21-05-22	PK2	001044	
<input type="checkbox"/> Edit	M	Export LC Transfer Ame...	PK2ELCT000056556	PK2ELCT000056556	DataEnrichment	21-05-22	PK2	001044	
<input type="checkbox"/> Edit		Export LC Transfer Ame...	PK2ELCT000056554	PK2ELCT000056554	Registration	21-05-22	PK2	001044	
<input type="checkbox"/> Edit	M	Export LC Transfer Ame...	PK2ELCT000056552	PK2ELCT000056552	KYC Exceptional approval	21-05-22	PK2	001044	
<input type="checkbox"/> Edit	M	ExportLC Amendment B...	PK2ELCA000056551	PK2ELCA000056551	DataEnrichment	21-05-22	PK2	001044	
<input type="checkbox"/> Edit		Import LC Issuance	PK2ILCI000056548	PK2ILCI000056548	Scrutiny	21-05-22	PK2	001044	
<input type="checkbox"/> Edit	M	Export LC Transfer Ame...	PK2ELCT000056498	PK2ELCT000056498	KYC Exceptional approval	21-05-21	PK2	000156	
<input type="checkbox"/> Edit	M	Guarantee SBLC Advise...	PK2GADC000056493	PK2GADC000056493	DataEnrichment	21-05-20	PK2	001044	
<input type="checkbox"/> Edit	M	Guarantee SBLC Advise...	PK2GADC000056467	PK2GADC000056467	AmountBlock Exception App...	21-05-20	PK2	001044	
<input type="checkbox"/> Edit	M	Guarantee SBLC Advise...	PK2GADC000056436	PK2GADC000056436	AmountBlock Exception App...	21-05-20	PK2	001044	

Page 1 of 6 (1 - 20 of 106 items) K < 1 2 3 4 5 6 > X

The Scrutiny stage has three sections as follows:

- Main Details
- Availability
- Payment
- Additional Fields
- Additional Details
- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

3.3.1 Main Details

Main details section has three sub section as follows:

- Application Details
- Transfer LC Details

3.3.1.1 Application Details

This section provides a quick snapshot of details of LC. User can enter the details in the following fields. Some of the fields that are already having value from registration/online channels will not be editable.

ORACLE

(DEFAULTTENITY)Oracle Banking Trade Finan...Jun 13, 2021ZART/subham@gmail

port LC Transfer
utiny : Application No:- PK2ELCT000023507

DocumentsRemarksOverridesCustomer InstructionIncoming MessageView LCView Events

Main

Availability

Payment

Additional Fields

Additional Details

Summary

Main

Application Details

Export LC Reference Number *

PK2ELAC211253017

Priority

Medium

Transfer Date

Jun 13, 2021

Available Amount *

GBP £80,000.00

Submission Mode

Desk

Customer Reference Number

3554556

First Beneficiary *

001044 GOODCARE PLC

Transfer Amount *

GBP £100.00

Allow Substitution of Document

☐

Branch

PK2-Oracle Banking Trade Finan...

Process Reference Number

PK2ELCT000023507

LC Details

LC Type

Sight

40A - Form of Documentary Credit

IRREVOCABLE TRANSFERRABLE

23 - Reference To Pre-Advice

31D - Place of Expiry *

xcvvv

Advising Bank

Form of Documentary Credit Details

31C - Date of Issue

Jun 13, 2021

Second Beneficiary *

777111 prakash

Product Code

ELAC

20 - Documentary Credit Number *

PK2ELAC211644003

40E - Applicable Rules

UCPURR LATEST VERSION

39A - Percentage Credit Amount Tolerance

/

Product Description

Export LC Usance Non Revolving

User Reference Number

PK2ELAC211644003

Date of Expiry *

Aug 3, 2021

39C - Additional Amount Covered

auditRejectReferHoldCancelSave & CloseBackNe

3.3.1.2

Field	Description	Sample Values
Export LC Reference Number	Read only field. System displays the Export LC Reference Number to be transferred.	
Available Amount	Read only field. System displays the available amount along with currency for transfer.	
First Beneficiary	Read only - System displays the name of the transferor-applicant name.	EMR & CO
Branch	Read only field. Branch details will be auto-populated from LC details.	203-Bank Futura -Branch FZ1
Priority	Priority to default based on priority maintenance and user should be allowed to change. Values are High, Medium and Low.	High
Submission Mode	Read only field. System displays the submission mode selected.	Desk
Transfer Amount	This field displays the value entered in Registration stage. User can change the value.	
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Transfer Date	System defaults the LC Transfer Date.	
Customer Reference Number	The user can enter the Customer Reference Number, if any.	
Allow Substitution of Document	If selected, the bank is allowed to substitute the Export Documents received from Transferee with the documents from Beneficiary.	

3.3.1.3 LC Details

Registration user can capture the changes made to the LC in this section.

Capture the response based on the description in the following table:

Field	Description	Sample Values
LC Type	Read only field. The LC type value is auto populated from Export LC.	
Advising Bank	User can enter the details if applicable.	
Product Code	Read only field. Product Code of the underlying Export LC is displayed. Note This field is enabled for product code selection from the lookup, if Use Transfer Product flag is set as "Yes" in the bank parameter.	
Product Description	Read only field. Product Description of the underlying Export LC is displayed.	
40A - Form of Documentary Credit	Read only field. The type of LC (Documentary Credit) is auto populated from Export LC.	
Form of Documentary Credit Details	The Documentary Credit details.	
Documentary Credit Number	Read only field. This field displays the Documentary Credit Number of the selected LC.	
User Reference Number	System defaults the user reference number based on the product code. The user can change the user reference number.	
Reference To Pre-Advice	This field is not applicable.	

Field	Description	Sample Values
Date of Issue	Read only field. Application will default the branch's current date in this field.	
Applicable Rules	Read only field. The applicable rules for the LC is auto populated from Export LC.	
Date Of Expiry	Date of Expiry is defaulted from the underlying Export LC and user is allowed to change expiry date which is on or before to Export LC Expiry date but not earlier to Transfer Date. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Place of Expiry	Place of Expiry is defaulted from the underlying Export LC.	
Second Beneficiary	This field displays the Second Beneficiary name from the Registration stage as selected for Export LC Transfer. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Percentage Credit Amount Tolerance	Read only field. Tolerance Amount to default from the underlying Export LC.	
Additional Amount Covered	User can provide additional amount included in Export LC. In case of online request, system should auto-populate the details. User cannot change the populated value.	

3.3.1.4 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none">● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">● R1- Documents missing● R2- Signature Missing● R3- Input Error● R4- Insufficient Balance/Limits● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.2 Availability

In this section user can input the Availability details for Export LC Transfer. In case the request is received through online channel, the user can verify the details populated.

Non Online Channel - Export LC Transfer request that are received at the desk will move to scrutiny stage post successful Registration. The transaction will have the details entered during the Registration stage.

Online Channel - Requests that are received via online channels like trade portal, external system and SWIFT should be available directly for further processing in OBTFPM from

scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage would be auto populated.

User can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Available With	Read only This field identifies the bank with which the credit is available. System auto-populates the details. User cannot change the populated value.	
Available By	Read-only. This field identifies how the credit is available. System should auto-populate the details. User cannot change the populated value.	
Drafts At	Read-only. This field displays the details of tenor of drafts to be drawn under the documentary credit. System should auto-populate the details from Export LC. User cannot change the populated value.	
Drawee	Read-only. This field will have value only if 'Drafts at' field has values. System should auto-populate the details from Export LC. User cannot change the populated value.	

Field	Description	Sample Values
Payment Details	Read-only. System should auto-populate the details from Export LC. User cannot change the populated value.	

3.3.2.1 Shipment Details

User can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Partial Shipments	Read only field. This field specifies whether or not partial shipments are allowed under the documentary credit. System auto-populate the details from underlying Export LC. User cannot change the populated value.	
Transshipment	Read only field. This field specifies whether or not transshipment is allowed under the documentary credit. Details will be defaulted from the underlying Export LC. Available values are: <ul style="list-style-type: none"> • ALLOWED • CONDITIONAL • NOT ALLOWED 	
Place Of Taking In Charge	Read only field. This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document. Details will be defaulted from the underlying Export LC.	
Port Of Loading	Read only field. This field specifies the port of discharge or airport of destination to be indicated on the transport document. Details will be defaulted from the underlying Export LC.	

Field	Description	Sample Values
Port Of Discharge	<p>Read only field.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <p>Details will be defaulted from the underlying Export LC.</p>	
Place Of Final Destination	<p>Read only field.</p> <p>This field specifies the final destination or place of delivery to be indicated on the transport document.</p> <p>Details will be defaulted from the underlying Export LC.</p>	
Latest Date Of Shipment	<p>Indicates the latest date for loading on board/ dispatch/taking in charge. Latest date of shipment is auto populated from underlying Export LC.</p> <p>The user can change the date.</p> <hr/> <p>Note:</p> <p>This field is alternate to Shipment Period. Latest date of shipment or shipment period must have value and in case value is missing, application will display an error message.</p> <p>Latest shipment date should be on or before expiry date and should not be before the branch date.</p>	
Shipment Period	<p>Indicates the details of Shipment. Shipment period is auto populated from underlying Export LC.</p> <p>The user can change the details.</p> <hr/> <p>Note:</p> <p>This field is alternate to Latest Date of Shipment. Latest date of shipment or shipment period must have value and in case value is missing, application will display an error message.</p>	

Field	Description	Sample Values
Transport Mode	<p>Transportation mode is auto populated from underlying Export LC. The options are:</p> <ul style="list-style-type: none"> • Air • Sea • Road • Rail • Multimodal • Other <p>The user can change the details.</p>	
Transport Details	<p>The transportation details of shipment is auto populated from underlying Export LC.</p> <p>The user can change the details.</p>	
Additional Shipment Details	Specify the additional details of shipment, if any.	

3.3.2.2 Description Of Goods And Or Services

This field contains a description of the goods and/or services of the issued LC and can be changed if required. Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
INCO Terms	The INCO terms from the document received details is auto populated from the underlying Export LC.	
INCO Description	Respective INCO term description will be defaulted as per the INCO Terms code from the underlying Export LC.	
+ Icon	Click + icon to add goods details.	
Goods Code	Goods Details is defaulted from the underlying LC and user can change the values. Click look up icon to select the goods code. Once you select goods code, value will populate in Goods Type and Goods Description.	
Goods Type	The goods type is defaulted from the underlying LC and user can change the values.	
Goods Description	The goods description is defaulted from the underlying Transfer LC and user can change the values.	
Original No of Units	The system displays the original number of units from the underlying Transfer LC.	

Field	Description	Sample Values
Original Price per Unit	The system displays the original price per unit from the underlying Transfer LC.	
Available Units	The system should display the available units of the goods. User should not be allowed to update this.	
Transfer quantity	Specify the number of units. The system should display an error message if the value for units transferred is more than the units available.	
Transfer Price per Unit	Specify the price per unit is not more than the original price per unit.	
Total Amount	System to calculate the total price In case of online request, the system should populate the total amount from incoming request. System should validate that the total amount is equal to the value of the transaction (LC/Collection).	
Action	Click Delete icon to remove goods details. Click Edit icon to edit the good details.	

3.3.2.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Back	Click Back to move the task back to previous data segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.3 Payment Details

In this section, user can input the Payment details for an Export LC Transfer. As part of Scrutiny, the user can enter the Payment details of an Export LC Transfer request.

The screenshot displays the Oracle Payment Details form for an Export LC Transfer. The form is titled "Payment" and includes a sidebar with navigation options: Main, Availability, Payment (selected), Additional Fields, Additional Details, and Summary. The top bar shows the Oracle logo, user information (POORNIM subham@gmail), and a navigation menu with options: Documents, Remarks, Overrides, Customer Instruction, Incoming Message, View LC, View Events, and Signatures. The form fields include:

- 49G-Special Payment conditions for beneficiary (Read only)
- 49H-Special Payment conditions for receiving bank (Read only)
- 48-Period for Presentation (21)
- 49-Confirmation Instructions (WITHOUT)
- 58A - Requested Confirmation Party
- 57A-Advise Through Bank
- 78-Instructions to P/A/N Bank
- 72-Sender to Receiver Information
- 71D - Charges
- 78D - Instructions to Intermediary Bank

The bottom bar contains buttons for Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

Field	Description	Sample Values
Special Payment Conditions for Beneficiary	Read only. System should auto-populate the details from underlying Export LC. User cannot change the populated value.	

Field	Description	Sample Values
Special Payment Conditions for receiving Bank	<p>Read only.</p> <p>This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/conditions for receiving bank only.</p> <p>System should auto-populate the details from underlying Export LC. User cannot change the populated value.</p>	
Period for Presentation	<p>Online Channel - Read only.</p> <p>Non Online Channel –</p> <p>If the period of presentation is based on any event other than shipment, then you can capture the event name in text along with the number of days in number.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	
Confirmation Instructions	<p>Online Channel - Read only.</p> <p>Non Online Channel – Select the confirmation instruction for the LC from the available LOV values – CONFIRM, MAY ADD, WITHOUT.</p> <p>Applicable only if field 49 - confirmation instruction is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system must display the following:</p> <ul style="list-style-type: none"> a) SWIFT code (if available), b) Name and address of the bank <p>On selection of the record, if SWIFT code is available then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	

Field	Description	Sample Values
Requested Confirmation Party	<p>Online and Non Online Channels - Provide requested confirmation party details. If the Requested Confirmation Party has the value as "Others" then appropriate value must be selected from the LOV.</p> <hr/> <p>Note</p> <p>This field is applicable and mandatory, only for LC Type - Confirmed or May Add.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	
Advise Through Bank	<p>Search through LOV. Party type with banks must be displayed in LOV.</p> <ul style="list-style-type: none"> • SWIFT code (if available) • Name and address of the bank <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	
Instructions to P/A/N Bank	<p>Non Online Channel - Provide the details in this field.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	
Sender to Receiver Information	<p>Online Channel - User can update details received.</p> <p>Non Online Channel - Provide details (FFT).</p>	
Charges	<p>Online Channel - User can update details received.</p> <p>Non Online Channel - Provide details (FFT).</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	
Instructions to Intermediary Bank	<p>Click Search to search and select the instructions to intermediary bank.</p>	

3.3.3.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none">● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	<p>Save the information provided and holds the task for working later.</p> <p>This option will not submit the request.</p>	
Back	Click Back to move the task back to previous data segment.	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

3.3.4 Additional Fields

In this section, the user can input in the additional fields implemented by the bank for Export LC Transfer .

Any user defined fields maintained at the bank level should be available in this Additional field details.

3.3.4.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Back	Click Back to move the task back to previous data segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.5 Additional Details

In the Additional Details section, the user can verify/input/update the additional details Data Segment of the Export LC Transfer.

The various additional details should be available as tile. Each tile can be selected and the respective screen will open for the user to capture details.

This is a multi-grid section with facility to attach more than one line.

The screenshot displays the Oracle Export LC Transfer - Scrutiny application. The interface includes a sidebar with navigation tabs: Main, Availability, Payment, Additional Fields, Additional Details (selected), and Summary. The main content area shows the 'Additional Details' section for 'Commission, Charges and...'. It lists fields: Charge, Commission, Tax, and Block Status, with values: Charge, Commission, Tax, and Block Status : Not Initiated. The top header shows 'ORACLE My Tasks' and 'My Tasks'. The right header shows 'SRIDHA subham@gmail.com' and 'May 8, 2019'. The bottom header shows 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next' buttons.

3.3.5.1 Commission, Charges and Taxes Details

Landing on the additional tab, the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details are auto-populated from the back-end system.

Commission, Charges and Taxes

Recalculate
Redefault

Commission Details

Event

Event Description

Component	Rate	Mod. Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settl. Acct	Amendable
No data to display.										

Page 1 (0 of 0 items)
1

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										

Page 1 (0 of 0 items)
1

Tax Details

Component	Type	Value Date	Ccy	Amount	Billing	Defer	Settl. Acct
No data to display.							

Save & Close
Cancel

3.3.5.2 Commission Details

If default commission is available under the product, it should be defaulted here with values.

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected.	

Field	Description	Sample Values
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Select the check box to waive charges/commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	
Amendable	The value is auto-populated as the commission can be amended or not.	

3.3.5.3 Charges Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary.	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

3.3.5.4 Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. The user cannot update tax detail and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	
Charges From Beneficiary	Detail of charges to be collected from beneficiary.	

3.3.5.5 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none">● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	<p>Save the information provided and holds the task for working later.</p> <p>This option will not submit the request.</p>	
Back	Click Back to move the task back to previous data segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.6 Summary

User can review the summary of details updated for Export LC Transfer. The user can see the summary tiles. The tiles should display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

ORACLE My Tasks (DEFAULTTENTY) (PK2) May 6, 2019 SRIDHA subham@gmail

ort LC Transfer - Scrutiny :: Application No: PK2ELCT000058480

Screen (6)

Main	Availability	Payment	Additional Fields
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2019-05-06	Available With : any Available By : NEGOTIATION Port of Loading : port B Port of Discharge : port C	Period of Present. : Confirmation Instr. : WITHOUT	Click here to view : Additional fields

Commission,Charges and Taxes	
Charge	: GBP50
Commission	:
Tax	:
Block Status	: Not Initia

edit Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view the application details details and export LC details. User can modify the details, if required.
- Availability - User can view already captured availability and shipment details. User can modify the details, if required.
- Payments - User can view all details related to payments. User can modify the details, if required.
- Additional Fields: Banks can configure the additional fields during implementation.
- Commission, Charges and Taxes: User can see the details provided for charges. User should be able to update the details if required.

3.3.6.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none">● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	<p>Save the information provided and holds the task for working later.</p> <p>This option will not submit the request.</p>	
Back	Click Back to move the task back to previous data segment.	
Submit	On Submit, system validates all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory hops, then error message should be displayed and force the user to visit mandatory tabs/update mandatory fields.	

3.4 Data Enrichment

As a part of Data Enrichment stage, User can enter/update basic details of the incoming request.

Non Online Channel - Export LC Transfer request that were received at the desk will move to DE stage post successful registration and scrutiny stage. The transaction will have the details entered during the registration/scrutiny stage.

Online Channel - Request that are received via online channels like trade portal, external system and SWIFT are available directly for further processing in OBTFPM from scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage would be auto populated.

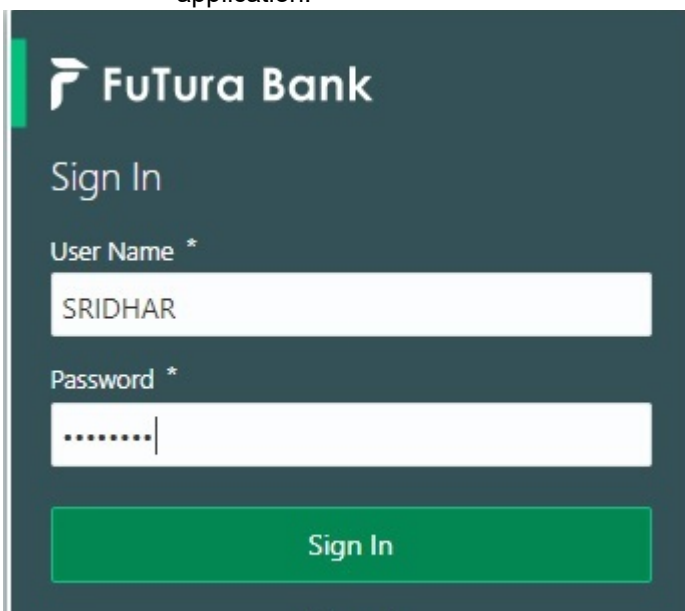
The user can select the respective field and will be allowed to edit/update the field.

Note

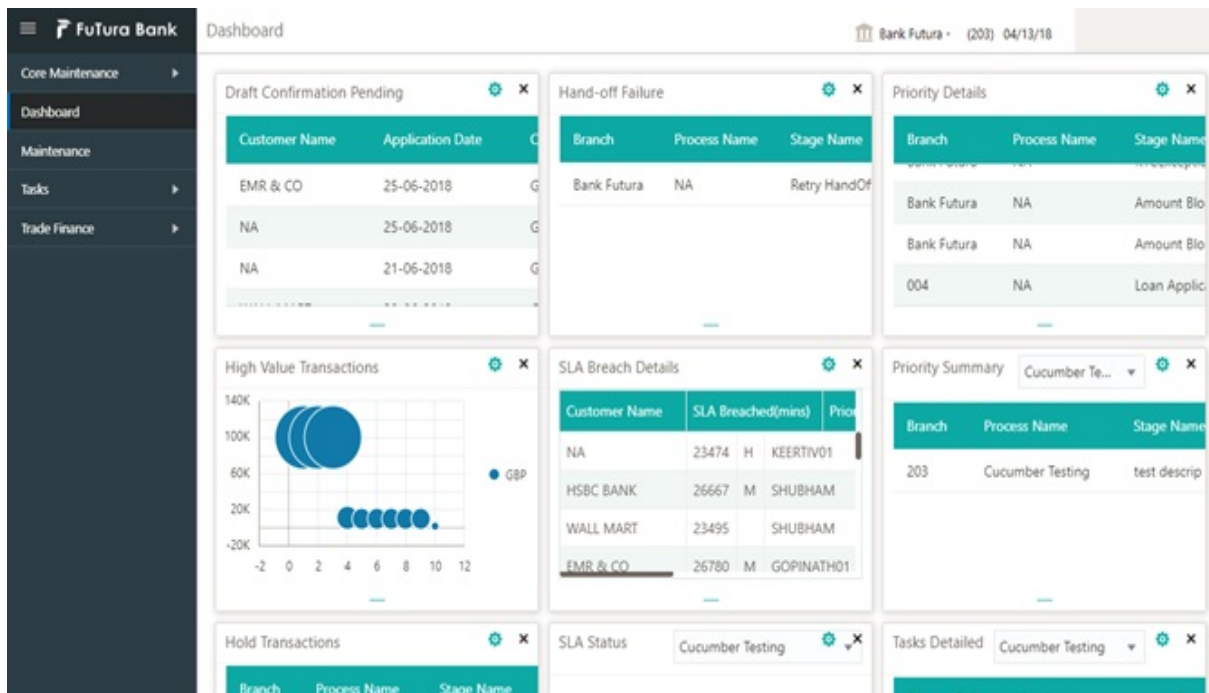
For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



- On login, user must be able to view the dashboard screen with widgets as mapped to the user.



- Click **Trade Finance> Tasks> Free Tasks**.

The screenshot shows the Oracle Free Tasks page. The left sidebar has a menu with 'Free Tasks' highlighted. The main area displays a table of tasks with the following columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, and Customer Number. The table contains 196 items, with the first 20 shown on page 1. The 'Acquire' button is highlighted in the top navigation bar.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & E...	M	Export LC Transfer	PK2ELCT000056729	PK2ELCT000056729	DataEnrichment	21-05-26	PK2	001043
Acquire & E...	M	Import DocumentaryCol...	PK2IDCU000056709	PK2IDCU000056709	Handoff RetryTask	21-05-25	PK2	001044
Acquire & E...	H	Import LC Issuance	PK2ILCI000056693	PK2ILCI000056693	Scrutiny	21-05-25	PK2	001043
Acquire & E...		Import LC Liquidation	PK2ILCL000056690	PK2ILCL000056690	DataEnrichment	21-05-25	PK2	
Acquire & E...		Guarantee Amendment	PK2GTEA000056688	PK2GTEA000056688	DataEnrichment	21-05-25	PK2	000153
Acquire & E...	M	Export LC Amendment B...	PK2ELCA000056681	PK2ELCA000056681	DataEnrichment	21-05-25	PK2	001044
Acquire & E...		Guarantee Amendment	PK2GTEA000056664	PK2GTEA000056664	DataEnrichment	21-05-25	PK2	000153
Acquire & E...		Guarantee Issuance	PK2GTEI000056657	PK2GTEI000056657	Scrutiny	21-05-25	PK2	001044
Acquire & E...		Export LC Advise	PK2ELCA000056654	PK2ELCA000056654	Scrutiny	21-05-24	PK2	001204
Acquire & E...		Guarantee Issuance	PK2GTEI000056653	PK2GTEI000056653	Scrutiny	21-05-24	PK2	006217
Acquire & E...		Guarantee Issuance	PK2GTEI000056652	PK2GTEI000056652	Scrutiny	21-05-24	PK2	006217
Acquire & E...	M	Guarantee Advise Canc...	PK2GTAC000056651	PK2GTAC000056651	DataEnrichment	21-05-24	PK2	001044
Acquire & E...		Export LC Advise	PK2ELCA000056648	PK2ELCA000056648	Scrutiny	21-05-24	PK2	001204
Acquire & E...		Export LC Advise	PK2ELCA000056647	PK2ELCA000056647	Scrutiny	21-05-24	PK2	001204

- Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

The screenshot shows the Oracle Free Tasks page with the 'Acquire' button highlighted in the top navigation bar. The table of tasks is displayed, and the 'Acquire & Edit' button is highlighted in the first row. The 'Acquire' button is also highlighted in the top navigation bar.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & E...	M	Export LC Transfer	PK2ELCT000056729	PK2ELCT000056729	DataEnrichment	21-05-26	PK2	001043
Acquire & E...	M	Import DocumentaryCol...	PK2IDCU000056709	PK2IDCU000056709	Handoff RetryTask	21-05-25	PK2	001044
Acquire & E...	H	Import LC Issuance	PK2ILCI000056693	PK2ILCI000056693	Scrutiny	21-05-25	PK2	001043
Acquire & E...		Import LC Liquidation	PK2ILCL000056690	PK2ILCL000056690	DataEnrichment	21-05-25	PK2	
Acquire & E...		Guarantee Amendment	PK2GTEA000056688	PK2GTEA000056688	DataEnrichment	21-05-25	PK2	000153
Acquire & E...	M	Export LC Amendment B...	PK2ELCA000056681	PK2ELCA000056681	DataEnrichment	21-05-25	PK2	001044
Acquire & E...		Guarantee Amendment	PK2GTEA000056664	PK2GTEA000056664	DataEnrichment	21-05-25	PK2	000153
Acquire & E...		Guarantee Issuance	PK2GTEI000056657	PK2GTEI000056657	Scrutiny	21-05-25	PK2	001044
Acquire & E...		Export LC Advise	PK2ELCA000056654	PK2ELCA000056654	Scrutiny	21-05-24	PK2	001204
Acquire & E...		Guarantee Issuance	PK2GTEI000056653	PK2GTEI000056653	Scrutiny	21-05-24	PK2	006217
Acquire & E...		Guarantee Issuance	PK2GTEI000056652	PK2GTEI000056652	Scrutiny	21-05-24	PK2	006217
Acquire & E...	M	Guarantee Advise Canc...	PK2GTAC000056651	PK2GTAC000056651	DataEnrichment	21-05-24	PK2	001044
Acquire & E...		Export LC Advise	PK2ELCA000056648	PK2ELCA000056648	Scrutiny	21-05-24	PK2	001204
Acquire & E...		Export LC Advise	PK2ELCA000056647	PK2ELCA000056647	Scrutiny	21-05-24	PK2	001204

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

ORACLE My Tasks (DEFAULTTIVITY) (PK2) May 6, 2019 SRIDHA subham@gmail

u Item Search...

Refresh Release Escalate Delegate Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amou
<input checked="" type="checkbox"/> Edit	M	Export LC Transfer	PK2ELCT000056729	PK2ELCT000056729	DataEnrichment	21-05-26	PK2	001043	
<input type="checkbox"/> Edit	M	Drawings Under Transfe...	PK2TLCDD000056575	PK2TLCDD000056575	KYC Exceptional approval	21-05-23	PK2	000152	
<input type="checkbox"/> Edit		Drawings Under Transfe...	PK2TLCDD000056573	PK2TLCDD000056573	Registration	21-05-23	PK2	000150	
<input type="checkbox"/> Edit		Import LC Liquidation	PK2ILCL000056570	PK2ILCL000056570	DataEnrichment	21-05-22	PK2		
<input type="checkbox"/> Edit		Export LC Transfer Ame...	PK2ELCT000056562	PK2ELCT000056562	Registration	21-05-22	PK2	001044	
<input type="checkbox"/> Edit	M	Export LC Transfer Ame...	PK2ELCT000056556	PK2ELCT000056556	DataEnrichment	21-05-22	PK2	001044	
<input type="checkbox"/> Edit		Export LC Transfer Ame...	PK2ELCT000056554	PK2ELCT000056554	Registration	21-05-22	PK2	001044	
<input type="checkbox"/> Edit	M	Export LC Transfer Ame...	PK2ELCT000056552	PK2ELCT000056552	KYC Exceptional approval	21-05-22	PK2	001044	
<input type="checkbox"/> Edit	M	ExportLC Amendment B...	PK2ELCA000056551	PK2ELCA000056551	DataEnrichment	21-05-22	PK2	001044	
<input type="checkbox"/> Edit		Import LC Issuance	PK2ILCI000056548	PK2ILCI000056548	Scrutiny	21-05-22	PK2	001044	
<input type="checkbox"/> Edit	M	Export LC Transfer Ame...	PK2ELCT000056498	PK2ELCT000056498	KYC Exceptional approval	21-05-21	PK2	000156	
<input type="checkbox"/> Edit	M	Guarantee SBLC Advise...	PK2GADC000056493	PK2GADC000056493	DataEnrichment	21-05-20	PK2	001044	
<input type="checkbox"/> Edit	M	Guarantee SBLC Advise...	PK2GADC000056467	PK2GADC000056467	AmountBlock Exception App...	21-05-20	PK2	001044	
<input type="checkbox"/> Edit	M	Guarantee SBLC Advise...	PK2GADC000056436	PK2GADC000056436	AmountBlock Exception App...	21-05-20	PK2	001044	

Page 1 of 6 (1 - 20 of 106 items) K < 1 2 3 4 5 6 > >>

The Data Enrichment stage has following sections as follows:

- Main Details
- Availability
- Payment
- Documents and Conditions
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

3.4.1 Main Details

ORACLE My Tasks (DEFAULT ENTITY) (PK2) May 6, 2019 SRIDH/ subham@gmail

Export LC Transfer - DataEnrichment :: Application No: PK2ELCT000058480

Clarification Details Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Screen (1)

Main

Application Details

Export LC Reference Number * PK2ECCT19126ADW8

Available Amount * GBP £10,000.00

First Beneficiary * 001044 GOODCARE PLC

Branch PK2-FLEXCUBE UNIVERSAL BANK

Priority Medium

Submission Mode Desk

Transfer Amount * GBP £1,000.00

Process Reference Number PK2ELCT000058480

Transfer Date May 6, 2019

Customer Reference Number PK2ECCT19126ADW8

Allow Substitution of Document

LC Details

LC Type Sight

Advising Bank

Product Code ECCT

Product Description Export LC for Transfer

40A - Form of Documentary Credit IRREVOCABLE

Form of Documentary Credit Details

20 - Documentary Credit Number * PK2ECCT19126AMD

23 - Reference To Pre-Advice

31C - Date of Issue May 6, 2019

40E - Applicable Rules UCP LATEST VERSION

31D - Place of Expiry * NYC

Second Beneficiary * 006214 Good Health

39A - Percentage Credit Amount Tolerance /

39C - Additional Amount Covered

Request Clarification Reject Refer Hold Cancel Save & Close Back No

3.4.1.1 Application Details

Field	Description	Sample Values
Export LC Reference Number	Read only. System displays the Export LC Reference Number to be transferred.	
Available Amount	Read only. Displayed as available from earlier stages.	
First Beneficiary	Read only. Displayed as available from earlier stages.	EMR & CO
Branch	Read only. Branch details will be auto-populated from LC details.	203-Bank Futura -Branch FZ1
Priority	Priority to default based on priority maintenance and user should be allowed to change. Values are High, Medium and Low.	High
Submission Mode	Read only. Displayed as available from earlier stages.	Desk
Transfer Amount	Displayed as available from earlier stages.	

Field	Description	Sample Values
Process Reference Number	Read only. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Transfer Date	Read only System should default the branch's current date	
Customer Reference Number	The user can enter the Customer Reference Number, if any.	
Allow Substitution of Document	If enabled, the bank is allowed to substitute the Export Documents received from Transferee with the documents from Beneficiary.	

3.4.1.2 LC Details

Field	Description	Sample Values
LC Type	Read only field. The value used for LC Type as per the latest LC details should be displayed.	
Advising Bank	The Advising Bank as per the latest LC details should be displayed.	
Product Code	Read only field. Product Code of the underlying Export LC is displayed. <div style="text-align: center;">Note</div> This field is enabled for product code selection from the lookup, if Use Transfer Product flag is set as "Yes" in the bank parameter.	
Product Description	Read only field. Product Description of the underlying Export LC is displayed.	
40A - Form of Documentary Credit	Read Only field. System auto-populates the details from previous operation. User cannot change the populated value.	
Form of Documentary Credit Details	The Documentary Credit details.	
Documentary Credit Number	Read only - This field displays the Documentary Credit Number of the selected LC.	
User Reference Number	System defaults the user reference number based on the product code. The user can change the user reference number.	
Reference To Pre-Advice	This field is not applicable.	
Date of Issue	Read only field. Application will default the branch's current date in date of issue.	
Applicable Rules	Read only field. The applicable rules for the LC is auto populated from previous operation. Default rule.	
Date Of Expiry	Expiry date is auto populated from previous stage and user can edit the value.	

Field	Description	Sample Values
Place of Expiry	The place of expiry is auto populated from previous stage and user can edit the value.	
Second Beneficiary	This field is populated from previous stage and user can edit the value if required. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Percentage Credit Amount Tolerance	Tolerance Amount to default from the underlying Export LC and its display only value. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Additional Amount Covered	User can provide additional amount included in Export LC. In case of online request, system should auto-populate the details. User cannot change the populated value.	

3.4.1.3 **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Back	Click Back to move the task back to previous data segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.4.2 Availability

DE user, can update/review the Availability details for Export LC Transfer.

DE user can select the respective field and should be allowed to edit/update the field. DE user can change only some of the fields received from online channels.

Oracle Banking Trade Finance
May 24, 2021
ZART/ subham@gmail

ort LC Transfer
aEnrichment :: Application No:- PK2ELCT000026110

Clarification Details Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main
Availability
Availability Details
Payment
Documents and Conditions
Additional Fields
Advices
Additional Details
Settlement Details
Summary

41a-Available with *
citigb2INNN

41a-Available By *
BY PAYMENT

42C-Drafts At

42a-Drawee

42 P/M - Payment Details

43P-Partial Shipments
NOT ALLOWED

43T-Transshipment
NOT ALLOWED

44A-Place of Taking in Charge
XCC

44E-Port of Loading
CXCXCXC

44F-Port of Discharge
CXCXCXC

44B-Place of Final Destination
CXCXC

44C-Latest Date of Shipment

44D-Shipment Period
CXCXCXC

45A Description of Goods and/or Services
INCO Terms
INCO Terms Description

Goods Code	Goods Type	Goods Description	Original No of Units	Original Price per Unit	Available Units	Transfer quantity	Transfer price per Unit	Total Amount	Action
ROLLINGCHAIR	G	rollingchair	10						

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

For Field Descriptions, refer to [3.3.2 Availability](#).

3.4.3 Payments

DE user can verify and enter the basic details available in the Export LC Transfer request.

Oracle
ort LC Transfer
aEnrichment :: Application No:- PK2ELCT000026110

Clarification Details Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main
Availability
Payment
Documents and Conditions
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Payment
Screen (3)

Payment Details
49G-Special Payment conditions for beneficiary
cond bank
58A - Requested Confirmation Party
72-Sender to Receiver Information
49H-Special Payment conditions for receiving bank
bene cond
58A - Requested Confirmation Party
71D - Charges
xxc new ccharge
48-Period for Presentation
21
57A-Advise Through Bank
49-Confirmation Instructions
WITHOUT
78-Instructions to P/A/N Bank
53A - Reimbursing Bank
000011
prakash
Reimbursing Bank Charge Type
Reimbursing Bank Charge Details

edit
Request Clarification Reject Refer Hold Cancel Save & Close Back New

Refer to [3.4.3 Payments](#).

3.4.4 Documents and Conditions

User can enter/ update Documents and conditions details for Export LC Transfer. The below fields can be modified in DE stage.

- Document Details
- Additional Conditions.

Oracle
ort LC Transfer
aEnrichment :: Application No:- PK2ELCT000026110

Clarification Details Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main
Availability
Payment
Documents and Conditions
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Documents and Conditions
Screen (4)

Document Details

Code	Document Description	Copy	Original	Clause Description	Original Required	Action
AIRDOC	Air Way				<input checked="" type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
INSDOC	Insurance				<input checked="" type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
INVDOC	Invoice				<input checked="" type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
MARDOC	Sea Way				<input checked="" type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
OTHERDOC	OTHERDOC				<input checked="" type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>

Additional Conditions

FFT Code	FFT Description	Action
21PBANKREF	21pbankref	<input checked="" type="checkbox"/> <input type="checkbox"/>

edit
Request Clarification Reject Refer Hold Cancel Save & Close Back New

3.4.4.1 Document Details

Documents details should default from underlying LC. If Substitute documents allowed is checked, system to give a warning message "Substitution of Documents allowed, please verify the documents".

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for document code, originals and copy.

Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen. Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen.

Field	Description	Sample Values
Code	Document code is auto-populated from the latest LC.	
Document Description	Description of the document is auto-populated based on the document code from the latest LC.	
Copy	Number of duplicate copies of documents as required in LC.	
Original	Number of documents in original as required in LC.	
Clause Description	Description of the clause required as per LC.	
Original Required	System defaults the value to display whether original document is required or not. The user can enable the option, if original document is required.	
Action	Click Edit icon to edit the document details. Click Delete icon to delete the document details.	

3.4.4.2 Additional Conditions

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line delimiter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. User should also be able to add additional FFT.

Field	Description	Sample Values
FFT Code	This field displays the FFT code as per the latest LC.	
FFT Description	This field displays the description of the FFT code as per the latest LC.	
Action	Click Edit icon to edit the additional conditions details. Click Delete icon to delete the additional conditions details.	

3.4.4.3 Documents and Checklist:

Documents: No documents are required for upload in this screen.

Checklist: User to verify if standard clauses are added to Additional conditions.

Remarks: User should be able to capture remarks as well as see remarks made in the earlier screens/stages

3.4.4.4 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none">● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	

Field	Description	Sample Values
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	<p>Save the information provided and holds the task for working later.</p> <p>This option will not submit the request.</p>	
Back	Click Back to move the task back to previous data segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.4.5 Additional Fields

Refer to [3.3.4 Additional Fields](#).

3.4.6 Advices

DE user can view the Advices generated during Export LC Transfer request. Advices menu displays the advices available under a product code from the back office as tiles. Some of the possible advices could be of Transfer LC Instrument (SWIFT MT 720), Transfer LC Instrument Copy, and Payment message.

The screenshot shows the Oracle Advices screen. The top navigation bar includes the Oracle logo, 'Free Tasks', a user profile for PRASHAN, and a date 'Jan 1, 2016'. The main header displays 'Export LC Transfer - DataEnrichment :: Application No: 300ILCI000038905'. A sidebar on the left lists navigation options: Main, Availability, Payment, Documents and Conditions, Additional Fields, Advices (selected), Additional Details, Settlement Details, and Summary. The main content area shows four advice tiles:

- Advice : TRANSFER LC INSTRUMENT**
Advice Name : TRANSFER LC INSTRUMENT
Advice Party : ABK
Party Name : WELLS FARGO LA
Suppress : NO
Advice
- Advice : TRANSFER LC INSTR_COPY**
Advice Name : TRANSFER LC INSTR_COPY
Advice Party : APP
Party Name : MARKS AND SPENCER
Suppress : NO
Advice
- Advice : TRANSFER LC INSURANCE ADV**
Advice Name : TRANSFER LC INSURANCE ADV
Advice Party :
Party Name :
Suppress : YES
Advice
- Advice : PAYMENT MESSAGE**
Advice Name : PAYMENT_MESSAGE
Advice Party :
Party Name :
Suppress : NO
Advice

At the bottom, there is an 'Audit' button and a row of action buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

The user can also suppress the Advice, if required.

The screenshot shows the 'Advice Details' section with a 'Suppress Advice' toggle switch. The toggle is currently turned on. Below the toggle, there are input fields for:



- Advice Name: TRADE_ENVELOPE
- Medium: MAIL (dropdown menu)
- Advice Party: BEN
- Party ID: 032204
- Party Name: Air Arabia

Below these fields is the 'Instructions' section, which contains a table:

Instruction Code	Instruction Description	Edit	Action
E202	. IN REIMBURSEMENT PLEASE TELE-REMIT THE FUNDS TO		

At the bottom right, there are 'OK' and 'Cancel' buttons.

Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required.	
Advice Name	User can select the instruction code as a part of free text.	

Field	Description	Sample Values
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

3.4.6.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	

Field	Description	Sample Values
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Back	Click Back to move the task back to previous data segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.4.7 Additional Details

DE user can verify and enter the basic additional details available for the Export LC Transfer request.

The various additional details should be available as tile. Each tile can be selected and the respective screen will open for the user to capture details.

This is a multi-grid section with facility to attach more than one line.

3.4.7.1 Preview Message

The bank user can view a preview of the message and advice simulated from back office which is based on the guarantee Claim captured in the previous screen.

Note

A bank user can share the Draft SWIFT message to the customer through email, before the actual transmission of SWIFT message to the Advising Bank.

Note

Preview to have MT 720 as SWIFT and other advices as Mail Advice. This needs to be mentioned under Preview messages heading.

3.4.7.2 Draft Confirmation

The user can view the draft LC message (outgoing MT720 SWIFT message format) being displayed on the preview message text box.

If the user wants to send a copy of the draft LC for customer confirmation, the same can be done by choosing the customer response slider as 'Yes'. On submit of the data enrichment stage the mail message to the customer will be sent.

The OBTFPM user can send the draft of the message to the registered email id of the corporate customer as an attachment containing PDF. The PDF sent to the corporate customer is protected by a password. Password to be generated with first four digits of Customer Name and last four digits of Customer Number.

The task will not move to approval but to 'Pending customer response stage. Upon receipt of customer's confirmation, the transaction moves to approval.

In case the customer asks for changes, the transaction will move to data enrichment and after necessary changes, it will move to approval.

3.4.8 The Preview section consists of following.

Field	Description	Sample Values
Preview - SWIFT Message		
Language	Read only field. English is set as default language for the preview.	
Message type	Select the message type from the drop down. User can choose to see preview of different message like MT 700, MT 740 and MT 701.	
Message Status	Read only field. This field displays the message status of draft message of liquidation details.	
Repair Reason	Read only field. This field displays the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the draft message.	
Preview - Mail Device		
Language	Read only field. English is set as default language for the preview.	
Advice Type	Select the advice type.	
Message Status	Read only field. This field displays the message status of draft message of liquidation details.	
Repair Reason	Read only field. This field displays the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the advice.	
Draft Confirmation		
Draft Confirmation Required	This toggle enables the user to select if draft confirmation is required or not	
Following fields will have values on receipt of customer response.		
Customer Response	User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system	
Customer Remarks	Remarks from the customer for the draft.	
Response Date	Customer Response received date.	

Field	Description	Sample Values
Customer Email ID 1	Default email address of the customer. System fetches the Email ID from Customer Address maintenance in Back office and auto populates the available Email ID.	
Customer Email ID 2	By default this field is blank. User can search and select the Email ID from lookup from the Customer Email Address field of the customer maintenance in Back Office and replicated in OBTFPM.	

3.4.8.1 Commission, Charge and Taxes

For more information, Refer to [3.3.5.1 Commission, Charges and Taxes Details](#).

3.4.9 Settlement Details

The user can view/input the settlement details for Export LC Transfer request. The following are the list of fields to be displayed.

Oracle Banking Trade Finance
May 24, 2021
ZART
subham@gmail

ort LC Transfer
aEnrichment :: Application No:- PK2ELCT000026110

Clarification Details Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main
Availability
Payment
Documents and Conditions
Additional Fields
Advice
Additional Details
Settlement Details
Summary

Settlement Details
☒ Current Event

Screen (8

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate	Deal Reference
AILSR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
APCHCLASS_LIQD	GBP	Debit	152110003	Domestic Export Sig	GBP	No	No			
APCHCLASS_LIQD	GBP	Debit	152110003	Domestic Export Sig	GBP	No	No			
APCHCLASS_LIQD	GBP	Debit	152110003	Domestic Export Sig	GBP	No	No			
ARC1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			

edit Request Clarification Reject Refer Hold Cancel Save & Close Back New

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	System populates the components based on the product selected.	
Currency	System displays the currency for the component.	

Field	Description	Sample Values
Debit/Credit	System defaults the debit/credit indicators for the components	
Account	System defaults the value based on the product selected.	
Account Description	System displays the account description for the account chosen.	
Account Currency	System displays the account currency for all items based on account number	
Netting Indicator	System displays the netting indicator applicable.	
Current Event	Application displays the current event as Y or N.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

3.4.9.1 **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Back	Click Back to move the task back to previous data segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.4.10 Summary

User can review the summary of details updated in Data Enrichment stage Export LC Transfer request. As part of summary screen, user can see the summary tiles. The tiles should display a list of important fields with values.

Oracle My Tasks (DEFAULT/NTENTY) (PK2) May 6, 2019 SRIDH subham@ora

ort LC Transfer - DataEnrichment :: Application No: PK2ELCT000058515

Summary

Main	Availability	Payment	Documents and Conditions	Additional Fields
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2019-03-22	Available With : ANYBANK Available By : NEGOTIATION Port of Loading : POL Port of Discharge : POD	Period of Present. : Confirmation Instr. : WITHOUT	Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOD Document 4 : MARDOC Document 5 : OTHERDOC	Click here to view : Additional Fields

Advices	Commission, Charges and Taxes	Preview Messages	Settlement Details	Accounting Details
Advice 1 : TRANSFER_APP Advice 2 : TRANSFER_APP Advice 3 : TRANSFER_N Advice 4 : TRANSFER_N Advice 5 : TRANSFER_O	Charge : GBP300 Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : +	Component : LIEXADV_LIQD Account Number : PK20010430 Currency : GBP	Event : AVAL AccountNumber : 520000002 Branch : PK2

Party Details	Compliance
Applicant : GOODCARE PLC Confirming Bank : WELLS FARG Beneficiary : SH TEST CORP	KYC : Not Initia Sanctions : Not Initia AML : Not Initia

Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- **Main Details** - User can view the application details details and Transfer LC details. User can modify the details, if required.
- **Availability** - User can view already captured availability and shipment details. User can modify the details, if required.
- **Payments** - User can view all details related to payments. User can modify the details, if required.
- **Documents and Conditions** - User can view the document details and additional condition details. User can modify the details, if required.
- **Additional Fields**: Banks can configure the additional fields during implementation.
- **Advices** - User can view the details of the advices.
- **Commission, Charges and Taxes**: User can see the details provided for charges. User should be able to update the details if required.
- **Preview Messages** - User can view the preview of the message.

- Settlement Details: User can see the Settlement details.
- Accounting Details - User can view the accounting entries generated in back office.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Party Details - User can view party details like applicant, advising bank etc.
- Compliance - User can view the compliance details.

3.4.10.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	On Submit, system should validate for all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory hops, then error message should be displayed and force the user to visit mandatory tabs/update mandatory fields.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Save & Close	Save the information provided and holds the task in for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Back	<p>Click of Back to move the task to the previous segment.</p>	

3.5 Customer Response - Draft Confirmation

The user can review and handle the customer's response received for the draft confirmation for Transfer LC transactions, which is sent to the customer for their verification and confirmation.

The customer response can be received both by online and offline mode. In non-online mode, user receives the response in the branch.

Non Online mode - User will have a physical response of the customer.

In online mode the customer will share their response online that will be automatically updated in the customer response field in the task, which is available in the "Awaiting customer clarification stage".

Log in into OBTFPM application, and open the task to see customer response screen.

3.5.0.1 Customer Response

All fields displayed under Application details section, would be read only.

Language - Read only field

Draft Message - Read only field

3.5.0.2 Draft Confirmation

Draft Confirmation required - Read only field

Customer Response - This field will be available for you to update any of the below response based on the customer's reply

- Accepted
- Not Accepted (Remarks)

For non-online response – User can select customer response from one of the three drop list values mentioned above.

For Online response – Read only

For Non Online response – The default would be pending. The user will be able to select from the drop list if the customer has accepted the draft or has request for change based on the above drop list responses.

Customer Remarks: The user can capture the remarks of the customer.

Response Date: Non Online channel – The user can update the date on which the customer response has been received.

Online Channel – Read Only

3.5.0.3 **Summary**

Tiles Displayed in Summary

- Main Details - User can view the details about application details and LC details.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Availability and Shipment - User can view the availability and shipment details.
- Payments - User can view all details related to payments.
- Documents & Condition - User can view the documents required grid and the additional conditions grid.
- Charges: User can see the details for charges, commission and taxes.
- Preview Messages - User can view the preview details.
- Compliance - User can view compliance details with statuses.

Documents – User must be able to view the documents uploaded in the system and upload documents if customer response has been received through non- online channel.

Remark - Capture remarks if required and must be able to view the remarks captured during earlier stages.

3.5.0.4 **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Transfer. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Cancel	Cancel the Draft Confirmation.	

Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	

3.6 Multi Level Approval

This stage allows the approver user to review and approve the Export LC Transfer transaction.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

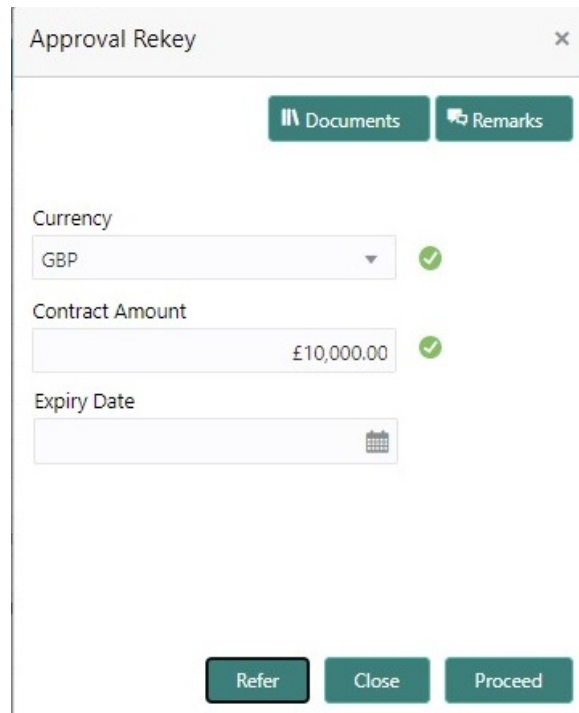
3.6.1 Re-Key Authorization

For non-online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen,

system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Transfer Currency
- Transfer Amount
- Expiry Date



The image shows a dialog box titled "Approval Rekey" with a close button (X) in the top right corner. Inside the dialog, there are two buttons at the top: "Documents" (with a document icon) and "Remarks" (with a speech bubble icon). Below these, there are three input fields, each with a green checkmark to its right, indicating they are valid or confirmed. The first field is "Currency" with a dropdown menu showing "GBP". The second field is "Contract Amount" with a text input showing "£10,000.00". The third field is "Expiry Date" with a date picker icon. At the bottom of the dialog, there are three buttons: "Refer", "Close", and "Proceed".

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

3.6.1.1 Summary

My Tasks

(DEFAULTTENTITY)
 (PK2) May 6, 2019

SRIDHAR
subham@gmail.c

Port LC Transfer - Approval Task Level 1 : Application No: PK2ELCT000056498 498

Summary

Main	Availability	Payment	Documents and Conditions	Additional Fields
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2019-03-22	Available With : ANYBANK Available By : NEGOTIATION Port of Loading : POL Port of Discharge : POD	Period of Present. : Confirmation Instr. : WITHOUT	Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC Document 5 : OTHERDOC	Click here to view : Additional fields
Advices	Revolving Details	Limits and Collaterals	Commission,Charges and Taxes	Preview Messages
Advice 1 : Advice 2 :	Revolving : N Revolving In : Revolving Frequency :	Limit Currency : USD Limit Contribution : 100000 Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -
Settlement Details	Accounting Details	Party Details	Compliance	
Component : Account Number : Currency :	Event : Account Number : Branch :	Beneficiary : Good Healt Confirming Bank : WELLS FARG Applicant : GOODCARE PLC	KYC : Not Verified Sanctions : Not Initia AML : Not Initia	

Audit

Reject
Refer
Hold
Approve
Back
Next

Tiles Displayed in Summary

- Main Details - User can view and modify the application details and Export LC details.
- Availability and Shipment - User can view already captured availability and shipment details. User can modify the details, if required.
- Documents and Conditions: User can to view the details of Documents and Conditions.
- Payments - User can view all details related to payments. User can modify the details, if required.
- Amendment Details - User can view the amended details.
- Additional Fields: Banks can configure the additional fields during implementation.
- Advices: User should be able to view the advice details.
- Commission, Charges and Taxes: User can see the details provided for charges. User should be able to update the details if required.
- Parties Details: User can see the party details like beneficiary, advising bank etc.
- Preview Messages: User can see the preview details grid.
- Settlement Details: User can see the Settlement details.
- Compliance Details: User can see the compliance details tiles. The status should be verified for KYC, AML and Sanction Checks.
- Accounting Details: User can view the accounting details.

3.6.1.2 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none">● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	<p>Save the information provided and holds the task for working later.</p> <p>This option will not submit the request.</p>	
Back	Click Back to move the task back to previous data segment.	
Submit	On Submit, system validates all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory hops, then error message should be displayed and force the user to visit mandatory tabs/update mandatory fields.	

3.7 Customer - Acknowledgement Format

Customer Acknowledgment is generated every time a new Export LC Transfer is requested from the customer. The acknowledgment letter format is as follows:

To:

<CUSTOMER NAME>

DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

Dear Sir,

SUB: Acknowledgement to your LC Application number <CUSTOMER REFERENCE NUMBER> dated <APPLICATION DATE>

This letter is to inform you that we have received your application for Export LC Transfer with the below details:

Applicant: XXXX

Beneficiary: XXXX

Currency: XXXX

Amount: XXXX

Issue Date: XXXX

We have also received the following Documents from you for processing the request:

Document Name 1

Document Name 2

Document Name n

We have registered your request. Please quote our reference < PROCESS REF NUMBER> in any future correspondence.

This acknowledgement does not constitute transfer of Export LC .

Thank you for banking with us.

Regards,

<DEMO BANK>

Notice: This document is strictly private, confidential and personal to its recipients and should not be copied, distributed or reproduced in whole or in part, nor passed to any third party. The information contained in this e-mail/ message and/or attachments to it may contain confidential or privileged information. If you are not the intended recipient, any dissemination, use, review, distribution, printing or copying of the information contained in this message and/or attachments to it are strictly prohibited. If you have received this communication in error, please notify us by reply e-mail or telephone and immediately and permanently delete the message and any attachments.

Thank you

3.8 Customer - Reject Format

Reject Letter is generated by the system and addressed to the customer, when a task is rejected by the user. The Reject Letter format is as follows:

FROM:

<BANK NAME>

<BANK ADDRESS>

TO:

DATE <DD/MM/YYYY>

<CUSTOMER NAME>

<CUSTOMER ADDRESS>

<CUSTOMER ID>

Dear Sir,

SUB: Your Export LC Transfer application <Customer Reference Number> under our Process Ref <Process Ref No> - Rejected

Further to your recent Export LC Transfer application request dated <Application Date -DD/MM/YYYY>, under our process ref no <process ref no>, this is to advise you that we will not be able to transfer the required Export LC.

After a thorough review of your application and the supporting documents submitted, we have concluded we will not be able to issue the LC due to the below reason

<Reject Reason >

On behalf of Demo Bank, we thank you for your ongoing business and trust we will continue to serve you in future.

For any further queries about details of your Export LC Transfer review, please contact us at our bank customer support ph.no xxxxxxxxxxxx

Yours Truly

Authorized Signatory

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